

# STATEMENT OF COMPLIANCE



All employees of Sound Stewardship are fully committed to a culture of compliance in our daily operation. We have established a routine and systematic approach to compliance that is designed to reinforce the ongoing requirements of the program. Our firm uses qualified third party resources to fortify our compliance program, including ongoing training. Sound Stewardship conducts an Annual Meeting for all employees, which includes a review of certain fundamental compliance practices (e.g., insider trading practices, personal securities trading practices, etc.)

Sound Stewardship continually reviews its policies and procedures to insure compliance in this continually evolving compliance environment with the goal of maintaining the highest standards of business possible.